

SQUARING OF ACCOUNT POLICY



RAJVI STOCK BROKING (P) LIMITED

G/2, Block-B, Jaldarshan Commercial Building, Ashram Road, Ahmedabad- 380009

SQUARING OF ACCOUNT POLICY

Restrictions/Prohibition to take further position or closing existing position: Under any of the circumstances, such as client's failure to meet Pay-In or margin obligations or clearance of outstanding/debit balance with broker before permissible time limit or beyond such period as may be allowed by broker, the client may not be permitted to take any fresh or further position until the full clearance of earlier dues, obligation, outstanding etc. Even, broker can firstly set-off or adjust the same shall not allow the client to take further/fresh position.

Further, it would be the duty of the client to monitor his/her/its position with the Broker from time to time. In case of any delay or failure in meeting any obligation, margin requirement etc. from client side, broker might close the existing position or open position WITHOUT ANY FURTHER INTIMATION to the client, Such Circumstances may include (but not limited to):

- (i) Failure to meet Pay-In obligation/margin requirement.
- (ii) Delay in meeting the Pay-In or margin requirement.
- (iii) Delay or failure in clearance or outstanding or dues to the broker,
- (iv) Returning or frequent returning of cheque of the client,
- (v) Unnecessary/Unwarranted dispute from client without any substantial cause/reason, settled without involvement of Exchange and/or SEBI
- (vi) As per prevalent RMS policy of the Broker.
- (vii) Any direction from SEBI/Exchange or such other authorities.
- (viii) Under such other circumstances as the Broker might think just and proper on case to case basis.

The client shall ensure timely availability of fund and/or security in form and manner at designated time & bank and depository account meeting his/her/its obligation and any losses, financial charges on account of such liquidation or close-out, shall be borne by the client