



RAJVI STOCKBROKING (P) LTD (CIN No: U67120GJ2004PTC043777)

G/2, Block-B, Jaldarshan Commercial Building, Opp. Natraj Cinema, Ashram Road, A'bad-380009

Contact No.: Phone : 40803006-07 Fax: 079-40803020

FATCA-CRS Declaration & Supplementary KYC Information
Self Declaration Form for Individuals [for DP & Trading]

Please seek appropriate advice from your professional tax professional on your tax residency and related FATCA & CRS guidance

TRADING CODE

DPID

NAME

PAN*

Address Type
(For KYC Address)

- Residential Residential / Business
 Business Registered Office

Place of Birth

Country of Birth

Gross Annual
Income Details
in INR

- Below 1 Lakh 1-5 Lacs
 5-10 Lacs 10-25 Lacs
 25 Lacs - 1 Cr > 1 Crore

Occupation
Details
[Please tick any
one (v)]

- Business Professional
 Public Sector Private Sector
 Government Forex Dealer
 Agriculturist Housewife
 Student Retired
 Others (Plasespecify)_____

Net Worth in
INR. In Lacs
(OPTIONAL)

Net Worth Date
(OPTIONAL)

/ /20

Politically Exposed
Person [PEP]

- Yes
 Related to PEP
 Not Applicable

Any other
information
(if applicable)

*If PAN is not available, please specify Folio No(s)

Is your Country of Tax Residency other than India – Yes No

If 'Yes', please specify the details of all countries where you hold tax residency and its Tax Identification Number & type

SR No	Country of Tax Residency#	Tax Payer Identification Number / Functional Equivalent	Identification Type (TIN or other, please specify)
1			
2			
3			

to include all countries other than India, where investor is Citizen / Resident / Green Card Holder / Tax Resident in those respective countries especially of USA

Declaration:

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/ am aware that I may liable for it. I hereby authorize you to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Exchanges/Depositories / Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your end or by domestic or overseas regulators/ tax authorities. I/We authorize you to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from my account or close or suspend my account(s) without any obligation of advising me of the same. I understand that you do not offer any tax advice on CRS/FATCA or its impact on me/us. I/We shall seek advice from Professional Tax Advisor for any tax questions.

Signature

Date : _____

Place : _____

X _____

Self Certification:

Are you US Person : Yes No

To be filled only if –

(a) Your country of Birth / Country of Tax Residency is other than India

(b) US Person is mentioned herein as Yes and TIN is not available:

I Confirm that I am neither a US Person nor a resident for Tax purpose in any country other than India, through one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following documents as proof of my citizenship and residency in India.

Document Proof submitted (Pls. tick document being submitted – Self Certified Copy)

- Passport
- Election Card
- PAN Card
- Driving License
- UIDAI/Aadhar Card Letter
- Govt. issued ID card
- Others(please Specify) _____

Document Identification No. _____

I / We agree that as may be required by domestic regulator/tax authorities, you may also be required to report, reportable details to CBDT or such other Income Tax Authorities as may be applicable in India or close or suspend my/our account.

I/We agree to submit a new form within 30 days if any information/certification on this form becomes incorrect.

Signature

Date: _____

Place: _____

X. _____